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Financing of the International Criminal Tribunal for the Prosecution of Persons Responsible for Genocide and Other Serious Violations of International Humanitarian Law Committed in the Territory of Rwanda and Rwandan Citizens Responsible for Genocide and Other Such Violations Committed in the Territory of Neighbouring States between 1 January and 31 December 1994

Financial performance report of the International Criminal Tribunal for the Prosecution of Persons Responsible for Genocide and Other Serious Violations of International Humanitarian Law Committed in the Territory of Rwanda and Rwandan Citizens Responsible for Genocide and Other Such Violations Committed in the Territory of Neighbouring States between 1 January and 31 December 1994 for the period from 1 January to 31 December 2000

Report of the Secretary-General

Summary

The present report contains the sixth annual financial performance report of the International Criminal Tribunal for the Prosecution of Persons Responsible for Genocide and Other Serious Violations of International Humanitarian Law Committed in the Territory of Rwanda and Rwandan Citizens Responsible for Genocide and Other Such Violations Committed in the Territory of Neighbouring States between 1 January and 31 December 1994 for the period from 1 January to 31 December 2000.

In its resolution 54/240 of 23 December 1999, the General Assembly decided to appropriate to the Special Account for the International Criminal Tribunal for Rwanda a total of $86,154,900 gross ($78,170,200 net) for the period from 1 January to 31 December 2000. The recorded expenditure for the period under review amounted to $83,144,800 gross ($75,817,300 net), resulting in an unencumbered balance of $3,010,100 gross ($2,352,900 net).

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I. Introduction

1. The present financial performance report for the International Criminal Tribunal for Rwanda covers the period from 1 January to 31 December 2000 and is submitted in compliance with General Assembly resolution 49/251 of 20 July 1995. In addition, in accordance with Assembly resolution 52/218 of 22 December 1997, the annex to the present report provides detailed actual workload statistics reflecting the activities of the Tribunal.

II. Performance report for 2000

Overall appropriation and expenditure

(Thousands of United States dollars)

Object of expenditure	*Appropriation (a)*	*Expenditure (b)*	*Variance (c)=a-b*
Posts (net of staff assessment)	54 554.9	49 448.6	5 106.3
Other staff costs	1 800.0	1 052.2	747.8
Salaries and allowances of judges (including common costs)	1 678.3	1 672.2	6.1
Consultants and experts	397.0	147.8	249.2
Travel	1 579.6	1 929.7	(350.1)
Contractual services	7 400.0	11 249.4	(3 849.4)
General operating expenses	5 598.5	4 911.9	686.6
Hospitality	4.0	4.7	(0.7)
Supplies and materials	1 437.2	1 631.5	(194.3)
Furniture and equipment	2 624.7	2 736.5	(111.8)
Construction, alterations, improvements and major maintenance	956.0	892.4	63.6
Grants and contributions	140.0	140.4	(0.4)
Staff assessment	7 984.7	7 327.5	657.2
Total (gross)	**86 154.9**	**83 144.8**	**3 010.1**
Income from staff assessment	7 984.7	7 327.5	657.2
Total (net)	**78 170.2**	**75 817.3**	**2 352.9**

Posts (decrease: $5,106,300)

2. The savings reflect higher than anticipated vacancy rates. The average anticipated vacancy rates for continuing posts in the Professional category and above was estimated at 19 per cent; similarly the rate for General Service and related categories was estimated at 13 per cent. Average vacancy rates of 60 per cent and 50 per cent for new posts in the Professional and General Service categories, respectively, were used in the budget estimates. The actual average vacancy rates for the 12-month period were 22.5 per cent in the Professional category and 13.3 per cent among General Service staff.

Other staff costs (decrease: $747,800)

3. The net savings is the result of a savings of $766,300 in general temporary assistance, partially offset by increased requirements in overtime ($18,500). The increase in overtime expenditure is attributable primarily to the need for staff, including drivers and security officers, to work beyond normal working hours during plenary sessions and holidays. The major components of savings in general temporary assistance are lower than anticipated costs for hiring local staff and an inability to identify suitably qualified local staff to provide bilingual services when required.

Salaries and allowances of judges (including common costs) (decrease: $6,100)

4. The net savings is due to the lower number of claims than had been estimated for special allowances to cover the periods during which the Vice-President acts as President ($6,200), offset by an over-expenditure in common staff costs ($100).

Consultants and experts (decrease: $249,200)

5. The savings resulted from: lower than anticipated number of expert witnesses called by the Office of the Prosecutor (only one of three expert witnesses provided for was used); from the use of only four out of the five consultants provided for in the budget; and from the utilization of fewer expert defence witnesses than had been anticipated.

Travel (increase: $350,100)

6. The net increase resulted from unanticipated travel of judges ($75,700), including attendance at a meeting to discuss the implementation of the recommendations of the Expert Group to conduct a review of the effective operation and functioning of the International Tribunals, and travel of legal officers to accompany judges from the Appeals Chamber on three missions to Arusha for the hearing of appeals cases and to attend a plenary session. In addition there were higher than anticipated travel requirements ($304,800) for travel expenses of: the Prosecutor between Arusha and Kigali; the Deputy Prosecutor to The Hague and Arusha; investigations teams within Rwanda; trial teams to Kigali following the late reassignment of all trial teams to Arusha; and a greater number of missions for tracking teams focusing on high-ranking suspects presumed to be located in Europe.

7. These additional requirements were offset by savings of $30,400, resulting from reduced travel of witnesses and travel related to audit activities.

Contractual services (increase: $3, 849,400)

8. The net increase relates to greater than anticipated expenditure for: defence counsel costs attributable in part to fee claims ($2,070,100) for 1999 and partial payments ($827,200) for 2000; defence team pre-trial preparation expenses and travel of defence counsel ($330,631); travel of investigators from the defence teams to countries other than the United Republic of Tanzania to gather evidence and interview witnesses ($311,581); training due to an increase in the number of language classes offered as well as extended training for staff members operating the courtrooms' audio-visual equipment ($85,000); contractual services owing to the lack of available expertise, including payroll and accounting system development

($82,000), post classification ($109,500), court management ($25,000), computer/database assistance ($41,600), media/communications ($26,500), archiving and records management ($15,400), graphic arts ($8,300), translation/transcription services ($11,100) and legal drafting ($3,000), and external printing of additional brochures, pamphlets, posters and journalists' handbooks required for hosting plenary sessions and visiting delegations ($6,380); and medical services provided to detainees in need of special or extra medical attention and hospitalization ($3,900).

9. These additional requirements were offset by savings under contractual security services at Kigali based on a need for fewer security officers than anticipated ($3,700); the periodic reduction in the use of Tanzanian Police Officers, who were recalled to municipal duties ($72,400); the lower than expected cost of vehicle insurance ($6,000); and cleaning and laundry services that cost less than anticipated ($25,700).

General operating expenses (decrease: $686,600)

10. The net savings in general operating expenses was due to savings in miscellaneous maintenance ($4,700), the lower cost of utilities resulting from the Kigali office being connected to the municipality electricity supply ($97,000), savings in communications from lower cost for Internet services, Lexis-Nexis subscriptions, frequency and circuit rentals for hand-held radios and rental of Intelsat communication links ($259,300). In addition, there were savings in witness protection and support because: fewer witnesses than anticipated were called ($213,200); the cost of transferring detainees was reduced as they were flown to Arusha using commercial flights instead of leased aircraft ($150,000); in enforcing sentences the Tribunal did not engage in activities in countries with which agreements on the enforcement of sentences had not been finalized ($168,300); costs for miscellaneous services were less than anticipated ($35,100); and the tracking teams limited their investigations to Europe and focused on high-ranking suspects ($184,400).

11. These savings were offset by higher than estimated expenditure for rental and maintenance resulting from increased rented space and rental rates ($328,000) as well as for the rental of aircraft owing to an underestimation of rental costs and landing and ground handling fees ($97,400).

Hospitality (increase $700)

12. This increase resulted from additional requirements in hosting delegations that visited the Tribunal, including Heads of State and members of the diplomatic corps.

Supplies and materials (increase $194,300)

13. This net increase resulted from over-expenditure in stationery and office supplies needed for: the increased production of documents for the Appeals Chamber and the prosecution and defence teams ($24,500); maintenance, repairs and spare parts for furniture and equipment, including photocopiers, security x-ray/scanning machines, machines used by Court Reporters and generators ($96,200); increased number of subscriptions to newspapers and magazines provided to Judges, Trial Chamber staff, the Legal Reference Library, Press and Public Affairs and detainees ($59,900); audio/visual supplies as a result of the large number of videotapes required for recording court sessions and reproducing exhibits ($68,500);

security and safety supplies, including uniforms for new security officers and replacement uniforms for other security staff ($44,100); and miscellaneous supplies for the detention facility and three safe houses, including bedding, mosquito nets and utensils ($11,500).

14. These over-expenditures were offset by savings under: electronic data-processing supplies and consumables ($7,900); library books and periodicals resulting from the deferred purchase of various journals and periodicals owing to unavailability ($10,200); clothing for detainees and witnesses as fewer detainees and witnesses appeared before the trial chambers ($18,000); medical supplies due to the opening of the clinic mid-year and the postponed purchase of medical equipment ($38,900); food and ration supplies because of the lower cost of locally available meat and vegetable products ($4,100); and petrol, oil and lubricants owing to the use of more efficient controls relative to the use of transport resources ($31,300).

Furniture and equipment (increase: $111,800)

15. This net increase resulted from over-expenditure incurred under office furniture and equipment owing, in part, to a need to customize furniture to fit the limited office space in the new prefabricated offices at Kigali ($27,900); the acquisition of audio-visual equipment for the third courtroom ($38,400); the purchase of vehicle spare parts and the use of local garage services prior to the opening of the Tribunal's Transport and Spare Parts Workshop ($155,100).

16. These over-expenditures were offset by savings in the acquisition of new workshop and networking automation equipment ($30,200); under communications equipment through the use of a more cost-effective option ($63,400); transport equipment (an ambulance) ($4,000); other office equipment, including fewer air conditioning units required at Arusha and Kigali ($5,600); and a reduced requirement for safety and security equipment ($6,400).

Construction, alteration, improvement to premises (decrease: $63,600)

17. The savings resulted from the deferment of projects designed to improve accommodation facilities for Tanzanian Prison Officers at the United Nations Detention Facility ($59,700) and from a reduction in requirements for various construction projects in Arusha and Kigali ($3,900).

Grants and contributions (increase: $400)

18. This over-expenditure resulted from the Tribunal's share of the operating costs of the Field Security Office and the United Nations clinic at Arusha and Kigali.

Staff assessment (decrease: $657,200)

19. The reduction in requirements is consistent with the level of vacancies in the Tribunal as indicated in paragraph 2.

III. Action to be taken by the General Assembly

20. The General Assembly is requested to take note of the present report.

Annex

Performance indicators for 2000

The Chambers

Performance indicators	*2000 projection*[a]	*2000 implementation*
Trials	6	5
Appeals	7	15
Court days	442	237
Plenary days	5	4

[a] See A/54/521.

Office of the Prosecutor

Performance indicators	*2000 projection*[a]	*2000 implementation*
Investigation Unit, Kigali		
Number of investigations	90	120
Public indictments (cumulative since 1995)	36	61
Missions	800	726
Witnesses interviewed	800	1 000
Statements obtained	700	701
Arrests	20	5
Prosecution Section, Kigali		
Motions/applications/responses	160	191
Trials in preparation	7	13
Trials prosecuted	6	5
Trials completed	4	2
Verdicts	4	2
Appeals	16[b]	7
Guilty pleas	-	1
Evidence and Information Support Unit		
Documents indexed	1 000	6 800

[a] See A/54/521.

[b] Number of appeals in 2000 includes full and interlocutory appeals.

The Registry

Performance indicators	*2000 projection*[a]	*2000 implementation*
Press and Public Affairs Unit		
Media representatives on distribution list	1 500	1 200
Press releases issued	100	99
Phone queries/document requests — press	1 000	1 370
Phone queries/document requests — public	700	771
Arranged visits by universities, other groups	100	111
News bulletins	6	-
Accredited journalists	340	369
Photographic exhibitions	10	7
Workshops/seminars	5	8
Court Management Section		
Court sessions	442	237
Court transcript pages	99 052	40 585
Documents processed	2 985	25 272
Trials completed	-	2
Witnesses and Victims Support Section		
Witnesses	210	61
Witness support days	2 683	1 246
Relocation requests	38	7
Witnesses with additional protective measures	105	22
Witnesses with additional support needs:		
Medical and psychological	140	28
Clothes and others	187	38
Safe houses	4	4
Lawyers and Detention Facility Management Section		
Legal counsel	50	66
Additional defence team members	50	41
Highest number of detainees	50	44
Chambers Support Section		
Final judgements (English and French)	9	2
Judicial decisions (English and French)	160	420
Arrest warrants (English and French)	15	7
Confirmations of indictment (English and French)	15	6
Rule 40 bis decisions (English and French)	-	-
Annual reports	1	1
Yearbooks	1	-

Performance indicators	*2000 projection*[a]	*2000 implementation*
General Legal Services Section		
Warrants for arrest transmitted	15	5
Legal memos/briefs	45	73
Plenary meetings serviced	1	3
Division of Administration		
Personnel Section		
Staffing table	916	810
Applications received/screened	4 000	7 885
Special service contracts	100	72
Offers of appointment	95	106
Pre-recruitment tests	100	172
Training courses	80	93
Finance Section		
Payroll (regular, extrabudgetary and projects)		
Local staff	390	314
International staff (local portion of salary)	450	417
Monthly financial statements		
Claims (travel, etc.)	$5.5 mil.	$5.934 mil.
Other disbursements	$20.0 mil.	$30.034 mil.
Appropriation level	$90.0 mil.	$86.337 mil.
Extrabudgetary expenditure level	$1.2 mil.	$0.440 mil.
Disbursement vouchers	8 200	8 758
Cheques issued	14 150	16 834
Official receipts issued	2 100	1 516
Financial statements	12	12
Expenditure review and performance reports	12	12
General Services Section		
Work orders completed — major	200	207
Major maintenance projects	17	16
Electrical repair and installation	400	370
BMS generator support	880	1 121
Registry fax/pouch/mail		
Incoming	36 000	64 582
Outgoing	20 000	49 472
Pouches dispatched	1 500	1 500
Pouches received	1 500	1 041
Outgoing faxes (pages)	110 000	140 026
Incoming faxes (pages)	25 000	67 011
Visa applications/residence permits	750	1 889
Purchase requisition orders (PRO.6)	400	500

Performance indicators	*2000 projection*[a]	*2000 implementation*
Shipping on appointment	30	40
Shipping on repatriation	30	30
Shipping on home leave	200	300
Air operations		
Flights	400	397
Passengers	2 000	1 765
Pieces: pouch, cargo, luggage	2 000	2 478
Travel		
Authorizations raised	3 000	1 353
Invoices	3 000	1 262
Procurement		
Purchase orders raised	480	741
Contracts signed	18	4
Local contract committee cases	40	29
Headquarters Committee on Contract cases	6	6
Communication and Information Systems Unit		
Technology/Management		
Local area network supported	5	6
Local area network connections	1 000	1 000
Helpdesk calls	36 000	34 500
Helpdesk calls — support person	18 000	6 900
Helpdesk calls — computer in-site	1 500	1 500
Audio/video court sessions supported	450	334
Communications PABX extensions	1 000	838
Communications support new/move extensions	700	900
Communications trouble-shooting	1 400	3 000
Communications helpdesk	3 400	10 000
Switchboard incoming calls	60 000	270 000
Transport Unit		
Fuel coupons issued	19 300	11 466
Work orders prepared	4 000	1 651
Trip tickets	26 900	6 430
Spare parts issued	3 500	3 619
Language and Conference Services Section		
Translation pages	22 000	21 594
Court interpreter sessions, Arusha	445	333
Field interpreter days, Kigali	4 075	1 325
Reproduction requests (pages)	2 000 000	1 476 820

Performance indicators	*2000 projection*[a]	*2000 implementation*
Security Services Section		
Average number of patrols and posts covered daily	28	54
Staff identification cards issued	1 200	3 772
Visitors' passes issued	1 000	22 433
Officers covering courtrooms and accused	16	16
Officers covering the Tribunal headquarters	75	85
Officers at the United Nations Detention Facility	16	27

[a] See A/54/521.